

**NONPROVISIONAL PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

J1130  
11/28/01  
U.S. PTO

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Attorney Docket No.: 111221

Date: November 28, 2001

Customer Number: 25944

**NONPROVISIONAL APPLICATION TRANSMITTAL  
RULE §1.53(b)**

Director of the U.S. Patent and Trademark Office  
Washington, D.C. 20231

JG921 U.S. PTO  
60/994,861  
11/28/01

Sir:

Transmitted herewith for filing under 37 C.F.R. §1.53(b) is the nonprovisional patent application

For (Title): **ILLUMINATION OPTICAL APPARATUS AND EXPOSURE APPARATUS  
PROVIDED WITH ILLUMINATION OPTICAL APPARATUS**

By (Inventors): **Osamu TANITSU, Masato SHIBUYA, Mitsunori TOYODA**

Formal drawings (Figs. 1-30B; 30 sheets) are attached.  
 Use Figure \_\_\_\_\_ for front page of Publication.

A Declaration and Power of Attorney is filed herewith.

This patent application is assigned to NIKON CORPORATION.  
 The executed Assignment is filed herewith.

An Information Disclosure Statement is filed herewith.

Entitlement to small entity status is hereby asserted.

A Preliminary Amendment is filed herewith.

Please amend the specification by inserting before the first line the sentence --This nonprovisional application claims the benefit of U.S. Provisional Application No. \_\_\_\_\_ filed \_\_\_\_\_.

Priority of foreign applications No. 2000-363225 filed November 29, 2000 in JAPAN and No. 2001-074240 filed March 15, 2001 in JAPAN are claimed (35 U.S.C. §119).  
 Certified copies of the above corresponding foreign applications are filed herewith.

This application is NOT to be published under 35 U.S.C. 112(b). The undersigned attorney or agent hereby certifies that the invention disclosed in this application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

The filing fee is calculated below:

**CLAIMS IN THE APPLICATION AFTER ENTRY OF  
ANY PRELIMINARY AMENDMENT NOTED ABOVE**

FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	47 - 20	= 27
INDEP CLAIMS	5 - 3	= 2
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS PRESENTED		

\* If the difference is less than zero, enter "0".

Check No. 125345 in the amount of \$1394.00 to cover the filing fee is attached. Except as otherwise noted herein, the Director is hereby authorized to charge any other fees that may be required to complete this filing, or to credit any overpayment, to Deposit Account No. 15-0461. Two duplicate copies of this sheet are attached.

Respectfully submitted,

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SMALL ENTITY		OTHER THAN A SMALL ENTITY	
RATE	FEES	RATE	FEES
	\$ 370		\$ 740
x 9 =	\$	x 18	\$ 486
x 42 =	\$	x 84	\$ 168
+ 140 =	\$	+ 280	\$
TOTAL	\$	TOTAL	\$ 1394